PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference MRH/P103062WO	FOR FURTHER ACTION	See item 4 below
International application No. PCT/GB2004/005457	International filing date (day/month/year) 29 December 2004 (29.12.2004)	Priority date (day/month/year) 30 December 2003 (30.12.2003)
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237		
Applicant GLASSFLAKE LTD		

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 <i>bis</i> .1(a).		
2.	This REPORT consists of a total of 7 sheets, including this cover sheet.		
	In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.		
3.	This report contains indications	relating to the following items:	
	Box No. I	Basis of the report	
	Box No. II	Priority	
	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	
	Box No. IV	Lack of unity of invention	
	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	
	Box No. VI	Certain documents cited	
	Box No. VII	Certain defects in the international application	
	Box No. VIII	Certain observations on the international application	
4.		ommunicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but makes an express request under Article 23(2), before the expiration of 30 months from the priority	

	Date of issuance of this report 03 July 2006 (03.07.2006)
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Nora Lindner
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Form PCT/IB/373 (January 2004)

PATENT COOPERATION TREATY

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From the INTERNATIONAL SEARCHING AUTHORITY

INTERNATIONAL SEATIONING ASTROCKET		DCT	
То:			PCT
see form PCT/ISA/220		WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43 <i>bis</i> .1)	
		Date of mailing (day/month/year)	see form PCT/ISA/210 (second sheet)
Applicant's or agent's file reference see form PCT/ISA/220		FOR FURTHEF See paragraph 2 be	
International application No. PCT/GB2004/005457	International filing date (c 29.12.2004	lay/month/year)	Priority date (day/month/year) 30.12.2003
International Patent Classification (IPC) c C03B37/005	or both national classification	and IPC	
Applicant GLASSFLAKE LTD			

1.	This opinion contains indications relating to the following items:		
	☑ Box No. 1	Basis of the opinion	
	☐ Box No. II	Priority	
	☐ Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	
	☐ Box No. IV	Lack of unity of invention	
	☑ Box No. V	Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	
	☐ Box No. VI	Certain documents cited	
	☐ Box No. VII	Certain defects in the international application	
	🛭 Box No. VIII	Certain observations on the international application	
2.	FURTHER ACTI	ION	
	If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notifed the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered.		

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA:



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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/GB2004/005457

	Box No	o. 1	Basis of the opinion
۱.	With re the land	gard guag	to the language , this opinion has been established on the basis of the international application in le in which it was filed, unless otherwise indicated under this item.
	lan	ngua	binion has been established on the basis of a translation from the original language into the following ge , which is the language of a translation furnished for the purposes of international search Rules 12.3 and 23.1(b)).
2.	With re	egarc sary t	I to any nucleotide and/or amino acid sequence disclosed in the international application and to the claimed invention, this opinion has been established on the basis of:
	a. type	of m	naterial:
		a se	equence listing
		tabl	e(s) related to the sequence listing
	b. form	nat of	f material:
		in v	vritten format
		in c	computer readable form
	c. time	of fi	ling/furnishing:
		cor	ntained in the international application as filed.
		file	d together with the international application in computer readable form.
		furi	nished subsequently to this Authority for the purposes of search.
3.	ha Co	as be opies	lition, in the case that more than one version or copy of a sequence listing and/or table relating theretoeen filed or furnished, the required statements that the information in the subsequent or additional is is identical to that in the application as filed or does not go beyond the application as filed, as priate, were furnished.
4	. Additio	onal	comments:

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/GB2004/005457

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1-4

1. Statement

Novelty (N) Yes: Claims

No: Claims -

Inventive step (IS) Yes: Claims 4

No: Claims 1-3

Industrial applicability (IA) Yes: Claims 1-4

No: Claims -

2. Citations and explanations

see separate sheet

Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

The following document is referred to:

D1: EP-A-0289240

- 1. As far as **claim 1** can be understood (see Item VIII § 4. below), its subject-matter does not imply an inventive activity in the sense of Article 33(1), (3) PCT.
- 1.1. Document D1, which is considered as the closest prior art, discloses a method for changing the thickness of flakes (claim 8, col.2, l. 26-31, col. 4, l. 28-41, col. 4, l. 58 col. 5, l.8) which comprises feeding a stream of molten material in a downwards direction into a rotating cup or disc, and allowing the material to pass over the edge of the cup in such a manner as to be forced into the gap between a pair of plates surrounding the cup, the movement of the material being maintained in an angular direction and effected by a flow of air passing through the plates and either side of the material so as to pull the stream of material in such a manner as to keep it flat and also to pull it so that, as solidification of the material is effected, the sheet of material so formed is broken into flakes.

It is to be noticed that the expression "particle thickness size distribution" of claim 1 encompasses several meanings, including the general meaning of "thickness of flakes".

Claim 1 of the present application differs from the process of D1 in that the distance between the cup and the entrance to the gap between the plates is varied.

1.2. The effect of the varying distance between the cup and the entrance to the gap is to change the thickness of the flakes. As other parameters are known from D1 to influence the thickness of the flakes, the problem to be solved can be seen as how to find an alternative to the known method. As the skilled person would expect than the modification of this distance would to a certain extent affect the thickness of the flakes, the solution of claim 1 is considered to be obvious.

- 2. Dependent claims 2 and 3 are not considered to meet the requirements of Article 33(1), (3) in respect of inventive step, for the following reasons:
- 2.1. The range of **claim 2** results from the optimization of the distance between the cup and the plates and is not regarded as inventive.
- 2.2. The wording of **claim 3** is so unclear ("the particle size distribution is in the range from 10% to 95%") that it does not further define the particle size distribution. Hence no distinguishing technical feature can be derived from it.
- 3. The subject-matter of claim 4 is considered to meet the requirements of Article 33(1) PCT in respect of novelty and inventive activity (Article 33(2), (3) PCT). The reasons are the following:
 - It is clear from claim 4 that the effect of varying the distance between the cup and the plates is to change the <u>width</u> of the particle thickness size distribution, thus to achieve a narrower or a wider particle thickness size distribution.
 - Hence the difference between claim 4 and the method of D1 (see § 1.1. above) is that the width of the particle thickness size distribution is modified when the distance between the cup and the plate is changed. The problem of obtaining a narrow particle thickness size distribution is addressed in the art but for other processes for making flakes, and is solved by equalizing temperatures (see eg. the A-documents cited in the search report). Hence the skilled person trying to modify the process of D1 in order to change the width of the particle thickness size distribution would not find it obvious the modify the distance between the cup and the plates.

Re Item VIII

Certain observations on the international application

4. The present application does not meet the requirements of Article 6 PCT because the wording of claim 1 does not allow to define the subject-matter of the claims It seems that the steps of the process described in the sentence "flakes of material formed by a process which comprises (...) broken into flakes" are not part of the claimed subject-matter, although part of the related features are necessary for defining the method step of changing the thickness size distribution ("distance between the cup and the entrance

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (SEPARATE SHEET)

International application No.

PCT/GB2004/005457

of the gap"). Hence a lack of clarity arises (see Guidelines 5.37).